

### **Appendix 1. H&S enabler risk definitions**

H&S recommendations are categorised, depending upon the level of associated risk, as follows:

<b>Level</b>	<b>Category</b>	<b>Definition</b>
<b>1</b>	<b>High</b>	Action is essential to manage exposure to fundamental risks that could expose the Council and its employees to loss. The majority of the significant risks relating to the area reviewed are not effectively managed.
<b>2</b>	<b>Medium</b>	Action is necessary to manage exposure to significant risks. There are a number of significant risks relating to the area reviewed that are not effectively managed.
<b>3</b>	<b>Low</b>	Action is desirable and should result in enhanced control or better effectiveness in H&S. The risks relating to the objectives of the areas reviewed are reasonably managed and are not cause for major concern.

**Appendix 2. A summary overview of all H&S enabler types (year on year risk assessment performance)**

Risk No.	Risk type	Inherent Risk Assessment	2014/15	2015/16	2016/17	2017/18	2018/19 Current	Direction 18/19 (Improving, no change, or deteriorating)
1	Safety guidance, assistance & legislation	Very High	Low	Low	Medium	Medium	Medium	No change
2	Safety training delivery	High	Medium	Medium	Medium	Medium	Medium	No change
3	Safety Audits, Inspections and visits	Medium	Low	Medium	Low	Low	Low	No change
4	Accidents, Incidents, Investigations and learning	High	Medium	Medium	Medium	Medium	Low	Improved
5	Occupational Health & promotion	High	Low	Medium	Medium	Medium	Medium	No change

## Assurance assessments comparing last two immediate financial years


### 1. Health and Safety Guidance, assistance and changes to H&S legislation

#### Assurance statements

This year's risk rating remains the same. The outlook in this area remains positive and is tracking towards a low risk rating.

#### Assurance Assessment

Inherent risk rating	Very High	Increase (FFI/ sentences/ fines) and a Very High inherent risk
Residual risk rating 2018/19	Medium	



#### Residual Risk Direction: No change

### 2. H&S training delivery

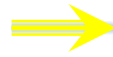
#### Assurance statement

This year's risk rating remains unchanged. HSEPO has delivered a comprehensive training plan. However, there remains gaps in training logs and varying levels of attendance. H&S training requirements are not optional and mandatory training needs to be attended to satisfy our legal duties. It remains essential that all colleagues attend the right H&S course at the right times and that on the job training is being refreshed, despite busy work commitments. It is up to management to ensure that all colleagues requiring H&S training are trained and released from workplaces to attend. It is expected that as eLearning becomes available that H&S training will be more convenient and have less impact on operational resources.

### Assurance Assessment

Inherent risk rating	High	No change to inherent risk
Residual risk rating 2018/19	Medium	

Residual Risk Direction: No change



### **3. H&S Audits, Inspections, Site visits**

#### Assurance Statement

This year's risk rating remains the same. Premises audits across the Council's leisure portfolio saw improvements.

#### Assurance Assessment

Inherent risk rating	Medium	No change to inherent risk
Residual risk rating 2018/19	Low	

Residual Risk Direction: No change



### **4. Accidents, Incidents, Investigations and learning**

#### Assurance statement

A strong level of usage and improvements in reporting, as well as the quality and quantity of near miss and hazard reports have seen the Council's overall performance reach a tentative low risk rating. As stated last year it was a realistic expectation that once the new AssessNET reporting was fully embedded, the residual risk rating would improve.

#### Assurance Assessment

Inherent risk rating	High	No change to the inherent risk
Residual risk rating 2018/19	Low	



Residual Risk Direction: Improvement

## 5. Occupational Health and promotions

### Assurance statement

The residual risk has slightly deteriorated but remains at medium due to current controls. The HSEPO worked hard to maintain compliance and achieved some significant work streams. However, significant periods of high support requests and external factors had a detrimental affect on this area.

### Assurance Assessment

Inherent risk rating	High	No change to inherent risk
Residual risk rating 2018/19	Medium	

Residual Risk Direction: No change

